Applicant : Sergey A. Selifonov Attorney's Docket No.: 16219-003US1

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Amendments to the Claims:

This listing of claims replaces all prior versions and listings of claims in the application:

## Listing of Claims:

1. (Previously presented) A method for making a compound having the formula:

$$\begin{array}{c|c} & & & & \\ & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\$$

wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> are each independently H, straight or branched alkyl group, straight or branched alkenyl group, carboxyalkyl, carboxyaryl, aromatic group, aromatic-aliphatic group, alkyloxyalkyl, aryloxyalkyl, cycloalkyl, cycloalkenyl, or oxacycloalkyl, or wherein any two of R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> can form a ring containing 5 to 15 carbon atoms, and wherein any of R<sub>1</sub>, R<sub>2</sub>, or R<sub>3</sub> optionally contain one oxygen-functional group selected from hydroxyl. carbonyl or protected forms thereof, and wherein R4 is a group having between 1 and 50 carbon atoms selected from the group consisting of straight or branched alkyl groups, straight or branched alkenyl groups, cycloalkyl or cycloalkenyl groups, alkyloxyalkyl groups, aromatic groups, aromatic-aliphatic groups, hydroxy-functional alkyl groups, and combinations thereof or a polymer chain comprising one or more ester or ether, or amide bonds, said method comprising:

a) providing an epoxide of formula (3):

and

b) reacting the epoxide with a lactic acid ester of formula (3):

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where the epoxide and ester are in the form of separate molecules or part of the same molecule, thereby providing the compound of formula (2).

- (Previously Presented) A method of claim 1 wherein the reaction between the epoxide
  and the lactic acid ester is carried out in the presence of a catalyst selected from the group
  comprising boron trifluoride catalysts, acid catalysts, and combinations thereof.
- 3. (Previously Presented) A method of claim 1 wherein the reaction between the epoxide and the lactic acid ester is carried out in the presence of excess lactic acid ester, with the molar ratio between the epoxide and the ester being between approximately 1:1.1 to 1:1000.
- (Previously Presented) A method of claim 1 wherein the lactic acid ester is glycidyl lactate.
- (Previously Presented) A method of claim 1 wherein the reaction between the epoxide and the lactic acid ester is conducted in the presence of a co-solvent.
- (Currently amended) A method of making a claim 1 further comprising cyclizing the compound of formula (2) to produce a compound having the formula:

wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> are each independently H, straight or branched alkyl group, straight or branched alkenyl group, carboxyalkyl, carboxyaryl aromatic group, aromatic-aliphatic group, alkyloxyalkyl, aryloxyalkyl, cycloalkyl, cycloalkenyl, or oxacycloalkyl, or wherein any two

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> of R1, R2, and R3 can form a ring containing 5 to 15 carbon atoms, and wherein any of R1, R2, or R<sub>1</sub> optionally contain one oxygen-functional group selected from hydroxyl carbonyl or protected forms thereof, said method comprising:

a) providing a 2 (2'-hydroxyethyl)propionate ester having the formula:

where R4-is a group having between 1 and 50 carbon atoms selected from the group consisting of straight or branched alkyl groups, straight or branched alkenyl groups. eyeloalkyl or cycloalkenyl groups, alkyloxyalkyl groups, aromatic groups, aromatic aliphatic groups, hydroxy functional alkyl groups, and combinations thereof; or a polymer chain comprising one or more ester or ether, or amide bonds, and b) effecting the evolization of the 2-(2' hydroxyethyl)propionate ester to form the compound of formula (1).

- 7. (Previously presented) A method as claimed in claim 6, wherein cyclization is carried out by saponifying the 2-(2'-hydroxyethyl)propionate ester of formula (2), followed by acidification
- 8. (Previously presented) A method as claimed in claim 6 wherein cyclization is carried out by transesterifying the 2-(2'-hydroxyethyl)propionate ester of formula (2) in the presence of a catalyst.
- 9. (Previously presented) A method as claimed in claim 8 wherein cyclization is carried out by treating the 2-(2'-hydroxyethyl)propionate ester with catalyst acid or boron trifluoride to eliminate water, followed by hydrolysis of the ester and acidification.
- (Currently amended) A method of elaim 6 claim 1 wherein the compound of formula (2) 10. comprising reacting an epoxide of formula (3):

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wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> are each independently H, straight or branched alkyl group, straight or branched alkenyl group, carboxyalkyl, carboxyaryl, aromatic group, aromatic aliphatic group, alkyloxyalkyl, aryloxyalkyl, eyeloalkyl, cycloalkenyl, or oxacycloalkyl, or wherein any two of R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> can form a ring containing 5 to 15 carbon atoms, and wherein any of R<sub>1</sub>, R<sub>2</sub>, or R<sub>3</sub> optionally contain one oxygen-functional group selected from hydroxyl, carbonyl or protected forms thereof;

and a lactic acid ester of formula (4):

wherein R<sub>4</sub> is a group having between 1 and 50 carbon atoms selected from the group consisting of straight or branched alkyl groups, straight or branched alkenyl groups, eyeloalkyl or cycloalkenyl groups, alkyloxyalkyl groups, aromatic groups, aromatic aliphatic groups, hydroxy functional alkyl groups, and combinations thereof or a polymer chain comprising one or more ester or ether, or amide bonds, to form a hydroxy acid intermediate having the formula (2):

that cyclizes in situ to form the dioxanone of a compound having the formula (1) formula:

wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> are each independently H, straight or branched alkyl group, straight or branched alkenyl group, carboxyalkyl, carboxyaryl aromatic group, aromatic-aliphatic group, Applicant: Sergey A. Selifonov Attorney's Docket No.: 16219-003US1

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alkyloxyalkyl, aryloxyalkyl, cycloalkyl, cycloalkenyl, or oxacycloalkyl, or wherein any two of R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> can form a ring containing 5 to 15 carbon atoms, and wherein any of R<sub>3</sub>, R<sub>2</sub>, or R<sub>3</sub> optionally contain one oxygen-functional group selected from hydroxyl carbonyl or protected forms thereof.

- (Previously presented) A method as claimed in claim 6 wherein cyclization is carried out
  by exposing the 2-(2'-hydroxyethyl)propionate ester to an enzyme selected from the group
  consisting of lipases, esterases, and combinations thereof.
- 12. (Previously presented) A compound having the formula:

wherein R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> are each independently H, straight or branched alkyl group, straight or branched alkenyl group, carboxyalkyl, carboxyaryl, aromatic group, aromatic-aliphatic group, alkyloxyalkyl, aryloxyalkyl, cycloalkyl, cycloalkenyl, oxacycloalkyl, or wherein any two of R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> form a ring containing 5 to 15 carbon atoms, and wherein any of R<sub>1</sub>, R<sub>2</sub>, or R<sub>3</sub> optionally contain one oxygen-functional group selected from hydroxyl, carbonyl or protected forms thereof, and wherein R<sub>4</sub> hydrogen or a group having between 1 and 50 carbon atoms selected from the group consisting of straight or branched alkyl groups, straight or branched alkenyl groups, cycloalkyl or cycloalkenyl groups, alkyloxyalkyl groups, aromatic groups, aromatic-aliphatic groups, hydroxy-functional alkyl groups, and combinations thereof, or a polymer chain comprising one or more ester or ether, or amide bonds.

13. (Currently Amended) A compound having the formula:

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$$R_1$$
 $R_2$ 
 $R_3$ 
 $R_3$ 
 $R_3$ 

wherein  $R_1$ ,  $R_2$ ,  $R_3$  are each independently H, straight or branched alkyl group, straight or branched alkenyl group, carboxyalkyl, carboxyaryl aromatic group, aromatic-aliphatic group, alkyloxyalkyl, aryloxyalkyl cycloalkyl, cycloalkenyl, oxacycloalkyl, or wherein any two of  $R_1$ ,  $R_2$ , and  $R_3$  form a ring containing 5 to 15 carbon atoms, and, wherein any of  $R_4$ ,  $R_2$ , or  $R_3$  optionally contain one oxygen-functional group selected from hydroxyl, carbonyl or protected form thereof, with the proviso that:

- a) where R2=R3=H, R1 cannot be methyl or H,
- b) where R<sub>1</sub>=R<sub>2</sub>=H, R<sub>3</sub> cannot be methyl or ethyl.
- c) where R<sub>3</sub>=H, and R<sub>1</sub> and R<sub>2</sub> form a cyclohexane or norbornene ring, at least one additional carbon atom, oxygen atom, or double bond must be present in the structure of R<sub>1</sub> or R<sub>2</sub>.
- (Previously presented) A composition comprising a base material and an amount of a compound according to claim 13 effective to impart a fragrance or a flavor to the base material
- 15. (Previously presented) A method of imparting a fragrance or a flavor to a base material comprising combining the base material with an effective amount of a compound according to claim 13.

## 16. - 17. (Cancelled).